

06-07-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	
Attorney Docket No. <u>004688.P025</u> (maximum 12 characters)	
First Named Inventor <u>Dan Kikinis</u>	
Title: <u>METHOD AND APPARATUS FOR NOTIFYING USERS OF INTERACTIVE FUNCTIONS</u>	
Express Mail Label No. <u>EL672751955US</u>	
ADDRESS TO: <u>Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231</u>	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	
1. <input checked="" type="checkbox"/>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)
2. <input checked="" type="checkbox"/>	Applicant Claims Small Entity Status. (37 CFR 1.27)
3. <input checked="" type="checkbox"/>	Specification (Total Pages <u>20</u>) (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure
4. <input checked="" type="checkbox"/>	Drawings(s) (35 USC 113) (Total Sheets <u>4</u>)
5. <input checked="" type="checkbox"/>	Oath or Declaration (Total Pages <u>5</u>) <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly Executed (Original or Copy) b. <input type="checkbox"/> Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed) <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). c. <input checked="" type="checkbox"/> Unsigned.
6. <input type="checkbox"/>	Application Data Sheet. (37 CFR 1.76)
7. <input type="checkbox"/>	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. <input type="checkbox"/>	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper
c. <input type="checkbox"/>	Statement verifying identity of above copies
d. <input type="checkbox"/>	

109/875707
U.S. PTO
06/05/01

ACCOMPANYING APPLICATION PARTS

9. **Assignment Papers (cover sheet & documents(s))**
10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney
11. English Translation Document (if applicable)
12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations
13. **Preliminary Amendment**
14. **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. Other: Copy of Postcard with Express Mail Certificate of Mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP)
Of Prior Application No.: _____ **Examiner** _____ **Group Art Unit** _____
(which is a continuation/ divisional/ CIP of prior application no. _____,
which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is
the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an
assignment recorded in the Patent and Trademark Office at Reel No. _____ Frame No. _____
(or a copy of which is attached).

19. Correspondence Address

Customer Number or Bar Code Label _____
or _____ (Insert Customer No. or Attach Bar Code Label here)
 Correspondence Address Below

NAME John P. Ward

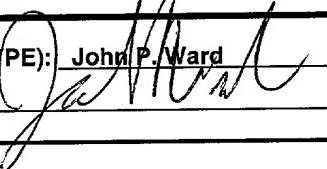
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Name (PRINT/TYPE): John P. Ward Signature:  Registration No.: 40,216
Date: 6/15/01

FEE TRANSMITTAL FOR FY 2001**TOTAL AMOUNT OF PAYMENT (\$)** 427.00**Complete if Known:**Application No. Not Yet AssignedFiling Date HerewithFirst Named Inventor Dan KikinisGroup Art Unit Not Yet AssignedExaminer Name Not Yet AssignedAttorney Docket No. 004688.P025**METHOD OF PAYMENT** (check one)

- [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name _____ [] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 [] Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed: X Check
 Credit Card
 Money Order
 Other

FEE CALCULATION**1. BASIC FILING FEE**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
101	710	201 355	<u>355.00</u>
106	320	206 160	
107	490	207 245	
108	710	208 355	
114	150	214 75	
		Provisional application filing fee	
SUBTOTAL (1) \$ 355.00			

2. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>24</u>	<u>- 20** = 4</u>	<u>X 18.00 = 72.00</u>
Independent Claims	<u>3</u>	<u>- 3** = 0</u>	<u>X 80.00 = </u>
Multiple Dependent			<u>= </u>

**Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee Code	Fee (\$)	
103	18	203 9
102	80	202 40
104	270	204 135
109	80	209 40
110	18	210 9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$ 162.00		

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	65
127	50	227	25
			Surcharge - late filing fee or oath
			Surcharge - late provisional filing fee
			or cover sheet
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
			Non-English specification
			For filing a request for ex parte reexamination
			Requesting publication of SIR prior to
			Examiner action
113	1,840*	113	1,840*
			Requesting publication of SIR after
			Examiner action
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
			Petitions to the Commissioner
			Processing fee under 37 CFR 1.17(q)
			Submission of Information Disclosure Stmt
			Recording each patent assignment per
			property (times number of properties)
146	710	246	355
			For filing a submission after final rejection
			(see 37 CFR 1.129(a))
149	710	249	355
			For each additional invention to be examined
			(see 37 CFR 1.129(b))
179	710	279	355
169	900	169	900
			Request for Continued Examination (RCE)
			Request for expedited examination of a design
			application
195	300	195	300
			Publication fee for early, voluntary, or normal
			publication
196	300	196	300
194	130	194	130
098	130	098	130
091	1,240	091	1,240
			Publication fee for republication
			Request for voluntary publication or republication
			Processing fee under 37 CFR 1.17(i)
			Acceptance of unintentionally delayed claim for priority

Other fee (specify) _____

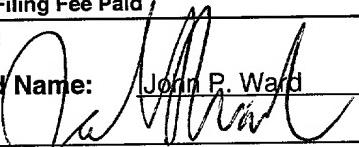
Other fee (specify) _____

SUBTOTAL (3) \$ 0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: John P. Ward

Signature:  Date: 6/5/01

Reg. Number: 40,216 Telephone Number: 408-720-8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.